A Graphical Adversarial Risk Analysis Model for Oil and Gas Drilling Cybersecurity

Aitor Couce Vieira   Siv Hilde Houmb   David Rios Insua

Secure-NOK AS   Royal Academy of Sciences
Stavanger, Norway   Madrid, Spain

aitorcouce@securenok.com   sivhoumb@securenok.com   david.rios@urjc.es

Oil and gas drilling is based, increasingly, on operational technology, whose cybersecurity is compli-cated by several challenges. We propose a graphical model for cybersecurity risk assessment based on Adversarial Risk Analysis to face those challenges. We also provide an example of the model in the context of an offshore drilling rig. The proposed model provides a more formal and compre-hensive analysis of risks, still using the standard business language based on decisions, risks, and value.

1   Introduction

Operational technology (OT) refers to "hardware and software that detects or causes a change through the direct monitoring and/or control of physical devices, processes and events in the enterprise" [19]. It includes technologies such as SCADA systems. Implementing OT and information technology (IT) typically lead to considerable improvements in industrial and business activities, through facilitating the mechanization, automation, and relocation of activities in remote control centers. These changes usually improve the safety of personnel, and both the cost-efficiency and overall effectiveness of operations.

The oil and gas industry (O&G) is increasingly adopting OT solutions, in particular offshore drilling, through drilling control systems (drilling CS) and automation, which have been key innovations over the last few years. The potential of OT is particularly relevant for these activities: centralizing decision-making and supervisory activities at safer places with more and better information; substituting manual mechanical activities by automation; improving data through better and near real-time sensors; and op-timizing drilling processes. In turn, they will reduce rig crew and dangerous operations, and improve efficiency in operations, reducing operating costs (typically of about $300,000 per day).

Since many of the involved OT employed in O&G are currently computerized, they have become a major potential target for cyber attacks [37], given their economical relevance, with large stakes at play. Indeed, we may face the actual loss of large oil reserves because of delayed maneuvers, the death of platform personnel, or potential large spills with major environmental impact with potentially catas-trophic consequences. Moreover, it is expected that security attacks will soon target several production installations simultaneously with the purpose of sabotaging production, possibly taking advantage of extreme weather events, and attacks oriented towards manipulating or obtaining data or information. Cy-bersecurity poses several challenges, which are enhanced in the context of operational technology. Such challenges are sketched in the following section.

1.1   Cybersecurity Challenges in Operational Technology

Technical vulnerabilities in operational technology encompass most of those related with IT vulnerabil-ities [7], complex software [5], and integration with external networks [16]. There are also and specific

OT vulnerabilities [41, 6]. However, OT has also strengths in comparison with typical IT systems employing simpler network dynamics.

Sound organizational cybersecurity is even more important with OT given the risks that these systems bring in. Uncertainties are considerable in both economical and technical sense [2]. Therefore better data about intrusion attempts are required for improving cybersecurity [31], although gathering them is difficult since organizations are reluctant about disclosing such information [38].

More formal approaches to controls and measures are needed to deal with advanced threat agents such as assessing their attack patterns and behavior [18] or implementing intelligent sensor and control algorithms [9]. An additional problem is that metrics used by technical cybersecurity to evaluate risks usually tell little to those evaluating or making-decisions at the organizational cybersecurity level. Understanding the consequences of a cyber attack to an OT system is difficult. They could lead to production losses or the inability to control a plant, multimillion financial losses, and even impact stock prices [7]. One of the key problems for understanding such consequences is that OT systems are also cyber-physical systems (CPS) encompassing both computational and complex physical elements [39].

Risk management is also difficult in this context [30]. Even risk standards differ on how to interpret risk: some of them assess the probabilities of risk, others focus on the vulnerability component [18]. Standards also tend to present oversimplifications that might alter the optimal decision or a proper understanding of the problem, such as the well-known shortcomings of the widely employed risk matrices [11].

Cyber attacks are the continuation of physical attacks by digital means. They are less risky, cheaper, easier to replicate and coordinate, unconstrained by distance [8], and they could be oriented towards causing high impact consequences [5]. It is also difficult to measure data related with attacks such as their rate and severity, or the cost of recovery [2]. Examples include Stuxnet [6], Shamoon [6], and others [9]. Non targeted attacks could be a problem also.

Several kinds of highly skilled menaces of different nature (e.g., military, hacktivists, criminal organizations, insiders or even malware agents) can be found in the cyber environment [5], all of them motivated and aware of the possibilities offered by OT [7]. Indeed, the concept Advanced Persistent Threat (APT) has arisen to name some of the threats [25]. The diversity of menaces could be classified according their attitude, skill and time constraints [12], or by their ability to exploit, discover or even create vulnerabilities on the system [5]. Consequently, a sound way to face them is profiling [3] and treating [23] them as adversarial actors.

1.2 Related Work Addressing the Complexities of Cybersecurity Challenges

Several approaches have been proposed to model attackers and attacks, including stochastic modelling [29, 35], attack graph models [21] and attack trees [27], models of directed and intelligent attacks [38]; models based on the kill chain attack phases [18], models of APT attack phases [25], or even frameworks incorporating some aspects of intentionality or a more comprehensive approach to risk such as CORAS [26] or ADVISE [10].

Game theory has provided insights concerning the behavior of several types of attackers – such as cyber criminal APTs – and how to deal with them. The concept of incentives can unify a large variety of agent intents, whereas the concept of utility can integrate incentives and costs in such a way that the agent objectives can be modeled in practice [24]. Important insights from game theory are that the defender with lowest protection level tends to be a target for rational attackers [20], that defenders tend to underinvest in cybersecurity [1], and that the attacker's target selection is costly and hard, and thus it needs to be carefully carried on [14]. In addition to such general findings, some game-theoretic models exist

for cybersecurity or are applicable to it, modelling static and dynamic games in all information contexts [34]. However, game-theoretic models have their limitations [17, 34] such as limited data, the difficulty to identify the end goal of the attacker, the existence of a dynamic and continuous context, and that they are not scalable to the complexity of real cybersecurity problems in consideration. Moreover, from the conceptual point of view, they require common knowledge assumptions that are not tenable in this type of applications.

Additionally, several Bayesian models have been proposed for cybersecurity risk management such as a model for network security risk analysis [40]; a model representing nodes as events and arcs as successful attacks [12]; a dynamic Bayesian model for continuously measuring network security [15]; a model for Security Risk Management incorporating attacker capabilities and behavior [13]: or models for intrusion detection systems (IDS) [4]. However, these models require forecasting attack behavior which is hard to come by.

Adversarial Risk Analysis (ARA) [33] combine ideas from Risk Analysis, Decision Analysis, Game-Theory, and Bayesian Networks to help characterizing the motivations and decisions of the attackers. ARA is emerging as a main methodological development in this area [28], providing a powerful framework to model risk analysis situations with adversaries ready to increase our threats. Applications in physical security may be seen in [36].

1.3   Our Proposal

The challenges that face OT, cybersecurity and the O&G sector create a need of a practical, yet rigorous approach, to deal with them. Work related with such challenges provides interesting insights and tools for specific issues. However, more formal but understandable tools are needed to deal with such problems from a general point of view, without oversimplifying the complexity underlying the problem. We propose a model for cybersecurity risk decisions based on ARA, taking into account the attacker behavior. Additionally, an application of the model in drilling cybersecurity is presented, tailored to decision problems that may arise in offshore rigs employing drilling CS.

2   Model

2.1   Introduction to Adversarial Risk Analysis

ARA aims at providing one-sided prescriptive support to one of the intervening agents, the Defender (she), based on a subjective expected utility model, treating the decisions of the Attacker (he) as uncertainties. In order to predict the Attacker's actions, the Defender models her decision problem and tries to assess her probabilities and utilities but also those of the Attacker, assuming that the adversary is an expected utility maximizer. Since she typically has uncertainty about those, she models it through random probabilities and uncertainties. She propagates such uncertainty to obtain the Attacker's optimal random attack, which she then uses to find her optimal defense.

ARA enriches risk analysis in several ways. While traditional approaches provide information about risk to decision-making, ARA integrates decision-making within risk analysis. ARA assess intentionality thoroughly, enabling the anticipation and even the manipulation of the Attacker decisions. ARA incorporates stronger statistical and mathematical tools to risk analysis that permit a more formal approach of other elements involved in the risk analysis. It improves utility treatment and evaluation. Finally, an ARA graphical model improves the understandability of complex cases, through visualizing the causal relations between nodes.

The main structuring and graphical tool for decision problems are Multi-Agent Influence Diagrams (MAID), a generalization of Bayesian networks. ARA is a decision methodology derived from Influence Diagrams, and it could be structured with the following basic elements:

- *Decisions or Actions*. Set of alternatives which can be implemented by the decision makers. They represent what one can do. They are characterized as decision nodes (rectangles).

- *Uncertain States*. Set of uncontrollable scenarios. They represent what could happen. They are characterized as uncertainty nodes (ovals).

- *Utility and Value*. Set of preferences over the consequences. They represent how the previous elements would affect the agents. They are characterized as value nodes (rhombi).

- *Agents*. Set of people involved in the decision problem: decision makers, experts and affected people. In this context, there are several agents with opposed interests. They are represented through different colors.

We describe now the basic MAID that may serve as a template for cybersecurity problems in O&G drilling CS, developed using GeNIe [22].

2.2   Graphical Model

Our model captures the Defender cybersecurity main decisions prior to an attack perpetrated by an APT, which is strongly "business-oriented". Such cyber criminal organization behavior suits utility-maximizing analysis, as it pursues monetary gains. A sabotage could also be performed by this type of agents, and they could be hired to make the dirty job for a foreign power or rival company. We make several assumptions in the Model, to make it more synthetic:

- We assume one Defender. The Attacker's nodes do not represent a specific attacker, but a generalization of potential criminal organizations that represent business-oriented APTs, guided mostly by monetary incentives.

- We assume an atomic attack (the attacker makes one action), with several consequences, as well as several residual consequences once the risk treatment strategy is selected.

- The Defender and Attacker costs are deterministic nodes.

- We avoid detection-related activities or uncertainties to simplify the Model. Thus, the attack is always detected and the Defender is always able to respond to it.

- The scope of the Model is an assessment activity prior to any attack, as a risk assessment exercise to support incident handling planning.

- The agents are expected utility maximizers.

- The Model is discrete.

By adapting the proposed template in Figure 1, we may generalize most of the above assumptions to the cases at hand.
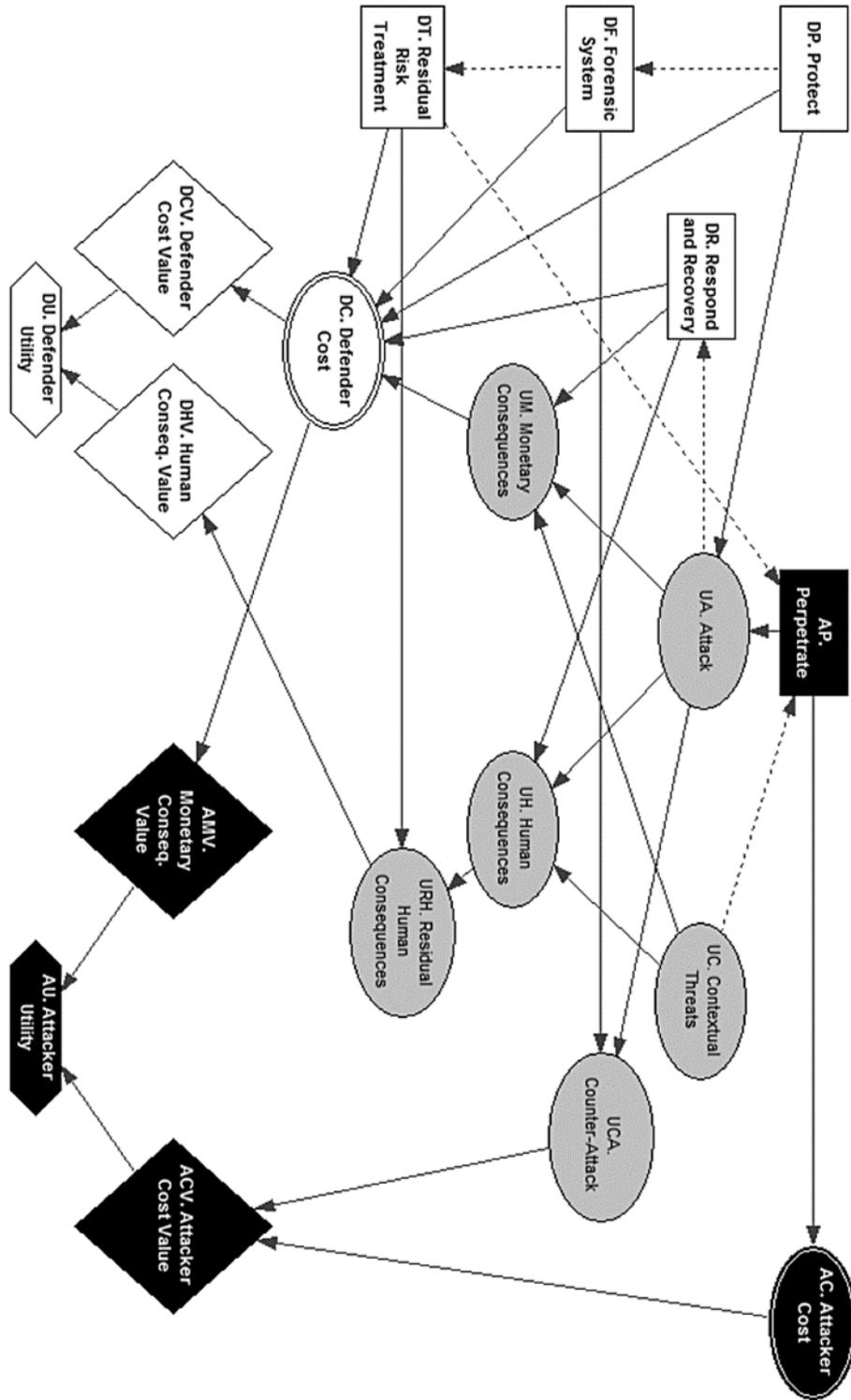
Figure 1. MAID of the ARA Model for O&G drilling cybersecurity.

2.2.1 Defender Decision and Utility Nodes

The Defender nodes, in white, are:

- *Protect* (*DP*) decision node. The Defender selects among security measures portfolios to increase protection against an Attack, e.g., access control, encryption, secure design, firewalls, or personal training and awareness.

- *Forensic System* (*DF*) decision node. The Defender selects among different security measures portfolios that may harm the Attacker, e.g., forensic activities that enable prosecution of the Attacker.

- *Residual Risk Treatment* (*DT*) decision node. This node models Defender actions after the assessment of other decisions made by the Defender and the Attacker. They are based on the main risk treatment strategies excluding risk mitigation, as they are carried on through the Protect and the Respond and Recovery nodes: avoiding, sharing, or accepting risk. This node must be preceded by the Protect defender decision node, and it must precede the Attack uncertainty node (the residual risk assessment is made in advance).

- *Respond and Recovery* (*DR*) decision node. The Defender selects between different response and recovery actions after the materialization of the attack, trying to mitigate the attack consequences. This will depend on the attack uncertainty node.

- *Defender Cost* (*DC*) deterministic node. The costs of the decisions made by the Defender are deterministic, as well as the monetary consequences of the attack (the uncertainty about such consequences is solved in the Monetary Consequences node). In a more sophisticated model, most of the costs could be modeled as uncertain nodes. This node depends on all decision nodes of the Defender and the Monetary Consequences uncertainty node.

- *Value Nodes* (*DCV* and *DHV*). The Defender evaluates the consequences and costs, taking into account her risk attitude. They depend on the particular nodes evaluated at each Value node.

- *Utility Nodes* (*DU*). This node merges the Value nodes of the Defender. It depends on the Defender's Value nodes.

The Decision nodes are adapted to the typical risk management steps, incorporating ways of evaluating managing sound organizational cybersecurity strategy, which takes into account the business implications of security controls, and prepare the evaluation of risk consequences. Related work (Section 1.2) on security costs and investments could incorporate further complexities underlying the above nodes.

2.2.2 Attacker Decision and Utility Nodes

The Attacker nodes, in black, are:

- *Perpetrate* (AP) decision node. The [generic] Attacker decides whether he attacks or not. It could be useful to have a set of options for a same type of attack (e.g., preparing a quick and cheap attack, or a more elaborated one with higher probabilities of success). It should be preceded by the Protect and Residual Risk Treatment decision nodes, and might be preceded by the Contextual Threat node (in case the Attacker observes it).

- *Attacker Cost* (AC) deterministic node. Cost of the Attacker decisions. Preceded by the Perpetrate decision node.

- *Value Nodes* (*AMV* and *ACV*). The Attacker evaluates the different consequences and costs, taking into account his risk attitude. They depend on the deterministic or uncertainty nodes evaluated at each Value node.

- *Utility Nodes* (*AU*). It merges the Value nodes of the Attacker to a final set of values. It must depend on the Attacker's Value nodes.

These nodes help in characterizing the Attacker, avoiding the oversimplification of other approaches. Additionally, the Defender has uncertainty about the Attacker probabilities and utilities. This is propagated over their nodes, affecting the Attacker expected utility and optimal alternatives, which are random. Such distribution over optimal alternatives is our forecast for the Attacker's actions.

2.2.3 Uncertainty Nodes

The uncertainty nodes in grey are:

- *Contextual Threats* (*UC*) uncertainty node. Those threats (materialized or not) present during the Attack. The Attacker may carry out a selected opportunistic Attack (e.g. hurricanes or a critical moment during drilling).

- *Attack* (*UA*) uncertainty node. It represents the likelihood of the attack event, given its conditioning nodes. It depends on the Perpetrate decision node, and on the Protect decision node.

- *Consequences* (*UM* and *UV*) uncertainty node. It represents the likelihood of different consequence levels that a successful attack may lead to. They depend on the Attack and Contextual Threat uncertainty nodes, and on the Respond and Recovery decision node.

- *Residual Consequences* (*URH*) uncertainty node. It represents the likelihood of different consequence levels after applying residual risk treatment actions. They depend on the Consequence node modelling the same type of impact (e.g., human, environmental, or reputation).

- *Counter-Attack* (*UCA*) uncertainty node. Possibility, enabled by a forensic system, to counter-attack and cause harm to the Attacker. Most of the impacts may be monetized. It depends on the Forensic System decision node.

Dealing with the uncertainties and complexities and obtaining a probability distribution for these nodes could be hard. Some of the methodologies and findings proposed in the sections 1.1 and 1.2 are tailored to deal with some of these complexities. Using them, the Model proposed in this paper could lead to limit the uncertainties in cybersecurity elements such as vulnerabilities, controls, consequences, attacks, attacker behavior, and risks. This will enable achieving simplification, through the proposed Model, without limiting the understanding of the complexities involved, and a sounder organizational cybersecurity.

3 Example

We present a numerical example of the previous Model tailored to a generic decision problem prototypical of a cybersecurity case that may arise in O&G offshore rig using drilling CS. The model specifies a case in which the driller makes decisions to prevent and respond to a cyber attack perpetrated by a criminal organization with APT capabilities, in the context of offshore drilling and drilling CS. The data employed in this example are just plausible figures helpful to provide an overview of the problems

that drilling cybersecurity faces. Carrying on the assessment that the Model enables may be helpful for feeding a threat knowledge base, incident management procedures or incident detection systems.

The context is that of an offshore drilling rig, a floating platform with equipment to drill a well through the seafloor, trying to achieve a hydrocarbon reservoir. Drilling operations are dangerous and several incidents may happen in the few months (usually between 2 or 4) that the entire operation may last. As OT, drilling CS may face most of the challenges presented in Section 1.1 (including being connected to Enterprise networks, an entry path for attackers) in the context of high-risk incidents that occur in offshore drilling.

3.1 Agent Decisions

3.1.1 Defender Decisions

The Defender has to make three decisions in advance of the potential attack. In the Protect decision node (DP), the Defender must decide whether she invests in additional protection: if the Defender implements additional protective measures, the system will be less vulnerable to attacks. In the Forensic System decision node (DF), the Defender must decide whether she implements a forensic system or not. Implementing it enables the option of identifying the Attacker and pursuing legal or counter-hacking actions against him. The Residual Risk Treatment decision node (DT) represents additional risk treatment strategies that the Defender is able to implement: avoiding (aborting the entire drilling operation to elude the attack), sharing (buying insurance to cover the monetary losses of the attack), and accepting the risk (inheriting all the consequences of the attack, conditional on to the mitigation decisions of DP, FD, and DR).

Additionally, the Respond and Recovery decision node (DR) represents the Defender's decision between continuing and stopping the drilling operations as a reaction to the attack. Continuing the drilling may lead to worsen the consequences of the attack, whereas stopping the drilling will incur in higher costs due to holding operations. This is a major issue for drilling CS. In general, critical equipment should not be stopped, since core operations or even the safety of the equipment or the crew may be compromised.

3.1.2 Attacker Decisions

For simplicity, in the Perpetrate decision node (AP) the Attacker decides whether he perpetrates the attack or not, although further attack options could be added. In this example, the attack aims at manipulating the devices directly under control of physical systems with the purpose of compromising drilling operations or harming equipment, the well, the reservoir, or even people.

3.2 Threat Outcomes and Uncertainty

3.2.1 Outcomes and Uncertainty during the Incident

The Contextual Threats uncertainty node (UC) represents the existence of riskier conditions in the drilling operations (e.g., bad weather or one of the usual incidents during drilling), which can clearly worsen the consequences of the attack. In this scenario, the Attacker is able to know, to some extent, these contextual threats (e.g., a weather forecast, a previous hacking in the drilling CS that permits the attacker to read what is going on in the rig).

The Attack uncertainty node (UA) represents the chances of the Attacker of causing the incident. If the Attacker decides not to execute his action, no attack event will happen. However, in case of perpetration, the chances of a successful attack will be lower if the Defender invests in protective measures (DC node). An additional uncertainty arises in case of materialization of the attack: the possibility to identify and counter-attack the node, represented by the Counter-Attack uncertainty node (UCA).

If the attack happens, the Defender will have to deal with different consequence scenarios. The Monetary (UM) and Human Consequences (UH) nodes represent the chances of different consequences or impact levels that the Defender may face. The monetary consequences refer to all impacts that can be measured as monetary losses, whereas human consequences represent casualties that may occur during an incident or normal operations. However, the Defender has the option to react to the attack by deciding whether she continues or stops the drilling (DR node). If the Defender decides to stop, there will be lower chances of casualties and lower chances of worst monetary consequences (e.g., loss of assets or compensations for injuries or deaths), but she will have to assume the costs of keeping the rig held (one day in our example) to deal with the cyber threat.

3.2.2 Outcomes and Uncertainty in Risk Management Process

The previous uncertainties appear after the Attacker's decision to attack or not. The Defender faces additional relevant uncertainties. She must make a decision between avoiding, sharing, or accepting the risk (DT node). Such decision will determine the final or residual consequences. The final monetary consequences are modeled through the Defender Cost deterministic node (DC node), whose outcome represents the cost of different Defender decisions (nodes DP, DF, DT, and DR). In case of accepting or sharing the risk, the outcome of the DC node will also inherit the monetary consequences of the attack (UM node). Similarly, the outcome of the Residual Human Consequences uncertainty node (URH) is conditioned by the risk treatment decisions (DC node) and, in case of accepting or sharing the risk, it will inherit the human consequences of the attack (UH node). If the Defender decides to avoid the risk, she will assume the cost of avoiding the entire drilling operations and will cause that the crew face a regular death risk rather than the higher death risk of offshore operations. If the Defender shares the risk, she will assume the same casualties as in UH and a fixed insurance payment, but she will avoid paying high monetary consequences. Finally, in case the Defender accepts the risk, she will inherit the consequences from the UM and UH nodes.

The Attacker Cost deterministic node (AC) provides the costs (non-uncertain by assumption) of the decision made by the Attacker. Since he only has two decisions (perpetrate or not), the node has only two outcomes: cost or not. This node could be eliminated, but we keep it to preserve the business semantics within the graphical model.

3.3   Agent Preferences

The Defender aims at maximizing her expected utility, with the utility function being additive, through the Defender Utility node (DU). The Defender key objective is minimizing casualties, but he also considers minimizing his costs (in this example we assume she is risk-neutral). Each objective has its own weight in the utility function.

The objective of the Attacker is to maximize his expected utility, represented by an additive utility function, through the Attacker Utility node (AU). The Attacker key objective is maximizing the monetary consequences for the Defender. We assume that he is risk-averse towards this monetary impact (he prefers ensuring a lower impact than risking the operations trying to get a higher impact). He also

considers minimizing his costs (i.e., being identified and perpetrating the attack). Each of these objectives has its own weight in the utility function, and its own value function. The Attacker does not care about eventual victims.

3.4 Uncertainty about the Opponent Decisions

The Attacker is able to know to some extent the protective decisions of the defender (DP node), gathering information while he tries to gain access to the drilling CS. While knowing if the Defender avoided the risk (avoiding all the drilling operations) is easy, knowing if the Defender chose between sharing or accepting the risk is difficult. The most important factor, the decision between continue or stop drilling in case of an attack, could be assessed by observing the industry or company practices. The Defender may be able to assess also how frequent similar attacks are, or how attractive the drilling rig is for this kind of attacker. In ARA, and from the Defender perspective, the AP node would be an uncertainty node whose values should be provided by assessing the probabilities of the different attack actions, through analyzing the decision problem from the Attacker perspective and obtaining his random optimal alternative.

3.5 Example Values

An annex provides the probability tables of the different uncertainty nodes employed to simulate the example in Genie (Tables 1 to 7). It also provides the different parameters employed in the utility and value functions (Tables 8 to 10). Additionally, the "risk-averse" values for AMV are obtained with $AMV = \sqrt[3]{\frac{DC}{10^7}}$; the "risk-neutral" values for DCV are obtained with $DCV = 1 - \frac{DC}{10^7}$; and, the values for DHV are 0 in case of victims and 1 in case of no victims.

3.6 Evaluation of Decisions

Based on the solution of the example, we may say that the Attacker should not perpetrate his action in case he believes the Defender will avoid or share the risk. However, the Attacker may be interested in perpetrating his action in case he believes that the Defender is accepting the risk. Additionally, the less preventive measures the Defender implements (DP and DT nodes), the more motivated the Attacker would be (if he thinks the Defender is sharing the risk). The Attacker's expected utility is listed in Table 11 in the Annex. The Defender will choose in this example not to implement additional protection (DP node) without a forensic system (DF node). If the Defender believes that she is going to be attacked, then she would prefer sharing the risk (DT node) and stop drilling after the incident (DR node). In case she believes that there will be no attack, she should accept the risk and continue drilling. The Defender's expected utilities are listed in Table T12 in Annex.

Thus, the Defender optimal decisions create a situation in which the Attacker is more interested in perpetrating the attack. Therefore, to affect the Attacker's behavior, the Defender should provide the image that her organization is concerned with safety, and especially that it is going to share risks. On the other hand, if the Attacker perceives that the Defender pays no attention to safety or that she is going to accept the risk, he will try to carry on his attack. The ARA solution for the Defender is the following:

1. Assess the problem from the point of view of the Attacker. The DT and DR nodes are uncertainty nodes since that Defender decisions are uncertain for the Attacker. The Defender must model such nodes in the way that she thinks the Attacker models such uncertainties. In general, perpetrating an attack is more attractive in case the Attacker strongly believes that the Defender is going to accept the risk or is going to continue drilling.

2. Once forecasted the Attacker's decision, the Defender should choose between sharing and accepting the risk. Accepting the risk in case of no attack is better than sharing the risk, but accepting the risk in case of attack is worse.

Thus, the key factor for optimizing the decision of the Defender are her estimations on the uncertainty nodes that represent the DT and DR nodes for the attacker. Such nodes will determine the Attacker best decision, and this decision the Defender best decision.

4 Conclusions and Further Work

We have presented the real problem and extreme consequences that OT cybersecurity in general, and drilling cybersecurity in particular, are facing. We also explained some of the questions that complicate cybersecurity, especially in OT systems. The proposed graphical model provides a more comprehensive, formal and rigorous risk analysis for cybersecurity. It is also a suitable tool, able of being fed by, or compatible with, other more specific models such as those explained in Section 1.

Multi-Agent Influence Diagrams provide a formal and understandable way of dealing with complex interactive issues. In particular, they have a high value as business tools, since its nodes translate the problem directly into business language: decisions, risks, and value. Typical tools employed in widely used risk standards, such as risk matrices, oversimplify the problem and limit understanding. The proposed ARA-based model provides a business-friendly interpretation of a risk management process without oversimplifying its underlying complexity.

The ARA approach permits us to include some of the findings of game theory applied to cybersecurity, and it also permits to achieve new findings. The model provides an easier way to understand the problem but it is still formal since the causes and consequences in the model are clearly presented, while avoiding common knowledge assumptions in game theory.

Our model presents a richer approach for assessing risk than risk matrices, but it still has the security and risk management language. In addition, it is more interactive and modular, nodes can be split into more specific ones. The proposed model can still seem quite formal to business users. However, data can be characterized using ordinal values (e.g., if we only know that one thing is more likely/valuable than other), using methods taken from traditional risk management, employing expert opinion, or using worst case figures considered realistic. The analysis would be poorer but much more operational.

Using the nodes of the proposed model as building blocks, the model could gain in comprehensiveness through adding more attackers or attacks, more specific decision nodes, more uncertainty nodes, or additional consequence nodes, such as environmental impact or reputation. Other operations with significant business interpretation can be done, such as sensitivity analysis (how much the decision-makers should trust a figure) or strength of the influence analysis (which are the key elements).

Its applicability is not exempt of difficulties and uncertainties, but in the same way than other approaches. Further work is needed to verify and validate the model and its procedures (in a similar way to the validation of other ARA-based models[32]), and to identify the applicability and usability issues that may arise. The model could gain usability through mapping only the relevant information to decision-makers (roughly, decisions and consequences) rather than the entire model.

References

[1] S. Amin, G. A. Schwartz & S. S. Sastry (2011): *On the interdependence of reliability and security in networked control systems*. In: *Decision and Control and European Control Conference (CDC-ECC), 2011 50th IEEE Conference on*, IEEE, pp. 4078–4083, doi:10.1109/CDC.2011.6161527.

[2] R. Anderson & S Fuloria (2010): *Security economics and critical national infrastructure*. In: *Economics of Information Security and Privacy*, Springer, pp. 55–66, doi:10.1007/978-1-4419-6967-5_4.

[3] A. Atzeni, C. Cameroni, S. Faily, J. Lyle & I Fléchais (2011): *Here's Johnny: A methodology for developing attacker personas*. In: *Availability, Reliability and Security (ARES), 2011 Sixth International Conference on*, IEEE, pp. 722–727, doi:10.1109/ARES.2011.115.

[4] M. G Balchanos (2012): *A probabilistic technique for the assessment of complex dynamic system resilience*. Ph.D. thesis, Georgia Institute of Technology. Available at `https://smartech.gatech.edu/bitstream/handle/1853/43730/balchanos_michael_g_201205_phd.pdf`.

[5] Defense Science Board (2013): *Task Force report: Resilient military systems and the advanced cyber threat*. Department of Defense. Available at `http://www.acq.osd.mil/dsb/reports/ResilientMilitarySystems.CyberThreat.pdf`.

[6] J. F. Brenner (2013): *Eyes wide shut: The growing threat of cyber attacks on industrial control systems*. *Bulletin of the atomic scientists (1974)* 69(5), pp. 15–20, doi:10.1177/0096340213501372.

[7] E. Byres & J Lowe (2004): *The myths and facts behind cyber security risks for industrial control systems*. In: *Proceedings of the VDE Kongress*, 116. Available at `http://www.isa.org/CustomSource/ISA/Div_PDFs/PDF_News/Glss_2.pdf`.

[8] A. Cardenas, S. Amin, B. Sinopoli, A. Giani, A. Perrig & S. Sastry (2009): *Challenges for securing cyber physical systems*. In: *Workshop on future directions in cyber-physical systems security*. Available at `http://cimic.rutgers.edu/positionPapers/cps-security-challenges-Cardenas.pdf`.

[9] A. A. Cárdenas, S. Amin & S. Sastry (2008): *Research challenges for the security of control systems*. In: *HotSec*. Available at `http://robotics.eecs.berkeley.edu/~sastry/pubs/Pdfs%20of%202008/CardenasResearch2008.pdf`.

[10] Conning (2013): *ADVISE enterprise risk modeler*. Available at `https://www.conning.com/risk-and-capital-management/software/advise.html`.

[11] L Cox (2008): *What's wrong with risk matrices?* *Risk analysis* 28(2), pp. 497–512, doi:10.1111/j.1539-6924.2008.01030.x.

[12] R. Dantu, P. Kolan, R. Akl & K Loper (2007): *Classification of attributes and behavior in risk management using bayesian networks*. In: *Intelligence and Security Informatics, 2007 IEEE*, IEEE, pp. 71–74, doi:10.1109/ISI.2007.379536.

[13] R. Dantu, P. Kolan & J Cangussu (2009): *Network risk management using attacker profiling*. *Security and Communication Networks* 2(1), pp. 83–96, doi:10.1002/sec.58.

[14] D. Florêncio & C Herley (2013): *Where do all the attacks go?* In: *Economics of Information Security and Privacy III*, Springer, pp. 13–33, doi:10.1007/978-1-4614-1981-5_2.

[15] M. Frigault, L. Wang, A. Singhal & S Jajodia (2008): *Measuring network security using dynamic bayesian network*. In: *Proceedings of the 4th ACM workshop on Quality of protection*, ACM, pp. 23–30, doi:10.1145/1456362.1456368.

[16] A. Giani, S. Sastry, K. H. Johansson & H Sandberg (2009): *The VIKING project: an initiative on resilient control of power networks*. In: *Resilient Control Systems, 2009. ISRCS'09. 2nd International Symposium on*, IEEE, pp. 31–35, doi:10.1109/ISRCS.2009.5251361.

[17] S. N. Hamilton, W. L. Miller, A. Ott & O. Saydjari (2002): *Challenges in applying game theory to the domain of information warfare*. In: *4th Information survivability workshop (ISW-2001/2002), Vancouver, Canada*. Available at `http://www.au.af.mil/au/awc/awcgate/afrl/hamilton-31-08-a.pdf`.

[18] E. M. Hutchins, M. J. Cloppert & R. M. Amin (2011): *Intelligence-driven computer network defense in-formed by analysis of adversary campaigns and intrusion kill chains*. Leading Issues in Information Warfare & Security Research 1, p. 80. Available at `http://www.f35team.com/content/dam/lockheed/data/corporate/documents/LM-White-Paper-Intel-Driven-Defense.pdf`.

[19] Gartner IT: *Gartner IT Glossary*.   Available at `http://www.gartner.com/it-glossary/operational-technology-ot`.

[20] B. Johnson, J. Grossklags, N. Christin & J Chuang (2012): *Nash equilibria for weakest target security games with heterogeneous agents*. In: Game Theory for Networks, Springer Berlin Heidelberg, pp. 444–458, doi:10.1007/978-3-642-30373-9_31.

[21] I. Kotenko & M Stepashkin (2006): *Attack graph based evaluation of network security*. In: Communications and Multimedia Security, Springer, pp. 216–227, doi:10.1007/11909033_20.

[22] Decision Systems Laboratory: *GeNIe*. Available at `http://genie.sis.pitt.edu/`.

[23] Z. Li, Q. Liao & A Striegel (2009): *Botnet economics: uncertainty matters*. In: Managing Information Risk and the Economics of Security, Springer, pp. 245–267, doi:10.1007/978-0-387-09762-6_12.

[24] P. Liu, W. Zang & M Yu (2005): *Incentive-based modeling and inference of attacker intent, objectives, and strategies*. ACM Transactions on Information and System Security (TISSEC) 8(1), pp. 78–118, doi:10.1145/1053283.1053288.

[25] Command Five Pty Ltd (2011): *Advanced persistent threats: A decade in review*. Available at `http://www.commandfive.com/papers/C5_APT_ADecadeInReview.pdf`.

[26] M. S. Lund, B. Solhaug & K Stolen (2011): *Model-driven risk analysis: the CORAS approach*. Springer, doi:10.1007/978-3-642-12323-8.

[27] S. Mauw & M Oostdijk (2006): *Foundations of attack trees*. In: Information Security and Cryptology-ICISC 2005, Springer, pp. 186–198, doi:10.1007/11734727_17.

[28] J. Merrick & G. S. Parnell (2011): *A comparative analysis of PRA and intelligent adversary methods for counterterrorism risk management*. Risk Analysis 31(9), pp. 1488–1510, doi:10.1111/j.1539-6924.2011.01590.x.

[29] C. Muehrcke, E. V. Ruitenbeek, K. Keefe & W. H. Sanders (2010): *Characterizing the behavior of cyber adversaries: The means, motive, and opportunity of cyberattacks*. In: 2010 International Conference on Dependable Systems and Networks Supplemental, IEEE/IFIP International Conference on Dependable Systems and Networks. Available at `https://www.perform.illinois.edu/Papers/USAN_papers/10VAN01.pdf`.

[30] D. K. Mulligan & F. B. Schneider (2011): *Doctrine for cybersecurity*. Daedalus 140(4), pp. 70–92, doi:10.1162/DAED_a_00116.

[31] S. L. Pfleeger & R Rue (2008): *Cybersecurity economic issues: Clearing the path to good practice*. Software, IEEE 25(1), pp. 35–42, doi:10.1109/MS.2008.4.

[32] D. Rios Insua & J. Cano (2013): *Basic models for security risk analysis (SECONOMICS D5.1)*. Technical Report, SECONOMICS Project. Available at `http://seconomicsproject.eu/content/d051-basic-models-security-risk-analysis`.

[33] David Rios Insua, J. Rios & D Banks (2009): *Adversarial risk analysis*. Journal of the American Statistical Association 104(486), pp. 841–854, doi:10.1198/jasa.2009.0155.

[34] S. Roy, C. Ellis, S. Shiva, D. Dasgupta, V. Shandilya & Q Wu (2010): *A survey of game theory as applied to network security*. In: System Sciences (HICSS), 2010 43rd Hawaii International Conference on, IEEE, pp. 1–10, doi:10.1109/HICSS.2010.35.

[35] K Sallhammar (2007): *Stochastic models for combined security and dependability evaluation*. Ph.D. thesis, Norwegian University of Science and Technology. Available at `http://www.diva-portal.org/smash/get/diva2:123582/FULLTEXT01`.

[36] J. C. Sevillano, D Rios Insua & J Rios (2012): *Adversarial risk analysis: The Somali pirates case*. Decision Analysis 9(2), pp. 86–95, doi:10.1287/deca.1110.0225.

[37] Z. Shauk (2013): *Hackers hit energy companies more than others*. Available at `http://fuelfix.com/blog/2013/03/25/electronic-attacks-hit-two-thirds-of-energy-companies-in-study/`.

[38] C.-W. Ten, C.-C. Liu & G Manimaran (2008): *Vulnerability assessment of cybersecurity for SCADA systems*. Power Systems, IEEE Transactions on 23(4), pp. 1836–1846, doi:10.1109/TPWRS.2008.2002298.

[39] R. C. Thomas, M. Antkiewicz, P. Florer, S. Widup & M Woodyard (2013): *How bad is it?–A branching activity model to estimate the impact of information security breaches*, doi:10.2139/ssrn.2233075.

[40] P. Xie, J. H. Li, X. Ou, P. Liu & R Levy (2010): *Using Bayesian networks for cyber security analysis*. In: *Dependable Systems and Networks (DSN), 2010 IEEE/IFIP International Conference on*, IEEE, pp. 211–220. Available at `10.1109/DSN.2010.5544924`.

[41] B. Zhu, A. Joseph & S Sastry (2011): *A taxonomy of cyber attacks on SCADA systems*. In: *Internet of Things (iThings/CPSCom), 2011 International Conference on and 4th International Conference on Cyber, Physical and Social Computing*, IEEE, pp. 380–388, doi:10.1109/iThings/CPSCom.2011.34.

Appendix: Tables with Example Data

Table T1. Probability table for UC node.

Riskier conditions	30%
Normal conditions	70%

Table T2. Probability table for UA node.

Attacker's Perpetrate decision	Perpetrate		No perpetrate	
Defender's Protect decision	Additional protection	Non additional protection	Additional protection	Non additional protection
Attack event	5%	40%	0%	0%
No attack event	95%	60%	100%	100%

Table T3. Probability table for UM node.

Attack event	Attack				No attack			
Contextual Threat event	Riskier conditions		Normal conditions		Riskier conditions		Normal conditions	
Defender's Respond and Recovery decision	Continue drilling	Stop drilling	Continue drilling	Stop drilling	Continue drilling	Stop drilling	Continue drilling	Stop drilling
Lossing 0 $ event	3%	0%	10%	0%	92%	0%	96%	0%
Lossing 0 - 1 Million $ event	12%	85%	20%	90%	7%	97%	4%	99%
Lossing 1 - 5 Million $ event	85%	15%	70%	10%	1%	3%	0%	1%

Table T4. Probability table for UH node.

Attack event	Attack				No attack			
Contextual Threat event	Riskier conditions		Normal conditions		Riskier conditions		Normal conditions	
Defender's Respond and Recovery decision	Continue drilling	Stop drilling	Continue drilling	Stop drilling	Continue drilling	Stop drilling	Continue drilling	Stop drilling
Non casualties event	96%	99.2%	99.4%	99.96%	99.6%	99.96%	99.9%	99.99%
Casualties event	4%	0.8%	0.6%	0.04%	0.4%	0.04%	0.1%	0.01%

Table T5. Probability table for URH node.

Human Consequences event	No casualties			Casualties		
Defender's Residual Risk Treatment decision	Avoid	Share	Accept	Avoid	Share	Accept
No casualties event	99.95%	100%	100%	0%	0%	0%
casualties event	0.05%	0%	0%	100%	100%	100%

Table T6. Probability table for UCA node.

Attack event	Attack		No attack	
Defender's Forensic System decision	Forensic	No forensic	Forensic	No forensic
No identification event	30%	90%	100%	100%
Identification event	70%	10%	0%	0%

Table T7. Probability table for DC node:

Avoiding the risk	10,000,000 $		
Sharing the risk	500,000 $		
Accepting the risk	Monetary Consequences event	0 $	0 - 1,000,000 $	1,000,000 - 5,000,000 $
	Value assigned	0 $	500,000$	2,500,000 $
Additional protection	20,000 $		
Forensic system	10,000 $		
Stop drilling	300,000 $		

Table T8. Weight table for DU node.

Importance of the Costs	5%
Importance of the Human Consequences	95%

Table T9. Value table for ACV node:

Attacker Cost event	Cost		No cost	
Counter Attack Consequences event	No identification	Identification	No identification	Identification
Value	0.75	0	1	0.25

Table T10. Weight table for AU node.

Importance of the costs	3%
Importance of the Monetary Consequences on the Defender	97%

Table T11. Attacker expected utilities (in black the highest among the different Attacker's decisions).

DP node	DF node	DT node	UC node	Defender continues drilling		Defender stops drilling	
				Perpetrate decision	Non perpetrate decision	Perpetrate decision	Non perpetrate decision
Additional protection	Forensic	Avoid	Riskier conditions	1			
			Normal conditions	1			
		Share	Riskier conditions	0.56074	**0.56903**	0.61138	**0.61966**
			Normal conditions	0.56074	**0.56903**	0.61138	**0.61966**
		Accept	Riskier conditions	0.36484	0.35433	0.61728	0.62458
			Normal conditions	0.35170	0.34293	0.61375	0.62130
	No forensic	Avoid	Riskier conditions	1			
			Normal conditions	1			
		Share	Riskier conditions	0.55938	**0.56699**	0.61060	**0.61821**
			Normal conditions	0.55938	**0.56699**	0.61060	**0.61821**
		Accept	Riskier conditions	0.34461	0.33241	0.61653	0.62315
			Normal conditions	0.33055	0.32013	0.61299	0.61986
No additional protection	Forensic	Avoid	Riskier conditions	1			
			Normal conditions	1			
		Share	Riskier conditions	0.55116	**0.56496**	0.60295	**0.61675**
			Normal conditions	0.55116	**0.56496**	0.60295	**0.61675**
		Accept	Riskier conditions	0.45634	0.29898	0.61588	0.62173
			Normal conditions	0.42794	0.28532	0.61058	0.61841
	No Forensic	Avoid	Riskier conditions	1			
			Normal conditions	1			
		Share	Riskier conditions	0.55442	**0.56282**	0.60690	**0.61530**
			Normal conditions	0.55442	**0.56282**	0.60690	**0.61530**
		Accept	Riskier conditions	0.32392	0.07465	0.61990	0.62030
			Normal conditions	0.28286	0.05131	0.61456	0.61696

Table T12. Defender expected utilities (in black the highest among the different Defender's decisions).

DP node	DF node	DT node	DR node	Possible events			
				Riskier conditions		*Normal conditions*	
				Attack event	*Non attack event*	*Attack event*	*Non attack event*
Additional protection	*Forensic*	*Avoid*	*Continue drilling*	0.91154	0.94573	0.94383	0.94858
			Stop drilling	0.94193	0.94915	0.94915	0.94943
		Share	*Continue drilling*	0.95935	0.99355	0.99165	0.99640
			Stop drilling	0.98825	0.99547	0.99547	0.99576
		Accept	*Continue drilling*	0.95092	0.99575	0.98490	0.99880
			Stop drilling	0.98675	0.99517	0.99447	0.99566
	No forensic	*Avoid*	*Continue drilling*	0.91154	0.94573	0.94383	0.94858
			Stop drilling	0.94193	0.94915	0.94915	0.94943
		Share	*Continue drilling*	0.95940	0.99360	0.99170	0.99645
			Stop drilling	0.98830	0.99552	0.99552	0.99581
		Accept	*Continue drilling*	0.95097	0.99580	0.98495	0.99885
			Stop drilling	0.98680	0.99522	0.99452	0.99571
No additional protection	*Forensic*	*Avoid*	*Continue drilling*	0.91154	0.94573	0.94383	0.94858
			Stop drilling	0.94193	0.94915	0.94915	0.94943
		Share	*Continue drilling*	0.95945	0.99365	0.99175	0.99650
			Stop drilling	0.98835	0.99557	0.99557	0.99586
		Accept	*Continue drilling*	0.95102	0.99585	0.98500	0.99890
			Stop drilling	0.98685	0.99527	0.99457	0.99576
	No Forensic	*Avoid*	*Continue drilling*	0.91154	0.94573	0.94383	0.94858
			Stop drilling	0.94193	0.94915	0.94915	0.94943
		Share	*Continue drilling*	0.95950	0.99370	0.99180	0.99655
			Stop drilling	**0.98840**	0.99562	**0.99562**	0.99591
		Accept	*Continue drilling*	0.95107	**0.99590**	0.98505	**0.99895**
			Stop drilling	0.98690	0.99532	0.99462	0.99581